

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		Attorney Docket No. <b>VIGN1540-1</b>	First Inventor or Application Identifier <b>Pathak, et al.</b>	P.O. 10723954 1264 112603
(Only for nonprovisional applications under 37 CFR § 1.53(b))		Title <b>SYSTEM AND METHOD FOR DYNAMICALLY APPLYING CONTENT MANAGEMENT RULES</b>		
APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>		ADDRESS TO:		Express Mail Label No. <b>EV351127335US</b>
1. <input checked="" type="checkbox"/> Fee Transmittal for FY 2003 <small>(Submit an original and a duplicate for fee processing)</small>		6. <input type="checkbox"/> Microfiche Computer Program (Appendix)		
2. <input checked="" type="checkbox"/> Specification [Total Pages] <b>35</b> <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Descriptive Title of the Invention</li> <li><input checked="" type="checkbox"/> Cross References to Related Applications</li> <li><input checked="" type="checkbox"/> Background of the Invention</li> <li><input checked="" type="checkbox"/> Summary of the Invention</li> <li><input checked="" type="checkbox"/> Brief Description of the Drawings (if filed)</li> <li><input checked="" type="checkbox"/> Description of Preferred Embodiments</li> <li><input type="checkbox"/> References</li> <li><input checked="" type="checkbox"/> Claim(s)</li> <li><input checked="" type="checkbox"/> Abstract of the Disclosure</li> </ul>		7. <input type="checkbox"/> Nucleotide and Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer-Readable Copy</li> <li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul>		
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets] <b>5</b>		8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))		
4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages] <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Unexecuted (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))  <small>(for continuation/divisional with Box 17 completed)</small> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u>            Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</li> </ul> </li> </ul>		9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small>		
5. <input type="checkbox"/> Incorporation By Reference (useable if box 4b is checked). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		10. <input type="checkbox"/> English Translation Document (if applicable)		
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment		11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations		
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-In-Part (CIP)		12. <input type="checkbox"/> Preliminary Amendment		
Prior application information: Examiner _____		13. <input checked="" type="checkbox"/> Return Receipt Postcard		
<input checked="" type="checkbox"/> Claims the benefit of Provisional Application No. 60/429,625 filed November 27, 2002		14. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application, Status still proper and desired		
<b>CORRESPONDENCE ADDRESS:</b>		15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>		
Gray Cary Ware & Freidenrich LLP 1221 South MoPac Expressway, Suite 400 Austin, TX 78746-6875 Tel. (512) 457-7142 Fax. (512) 457-7001		Customer No. : <b>25094</b>		

TYPED or PRINTED NAME **John L. Adair**REGISTRATION NO. **48,828**SIGNATURE DATE: **November 26, 2003**

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112603  
US PTO

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 860.00)

## Complete if Known

Application Number	Unkn wn
Filing Date	November 26, 2003
First Named Inventor	Heeren Pathak, et al.
Examiner Name	Unknown
Art Unit	Unknown
Attorney Docket No.	VIGN1540-1

## METHOD OF PAYMENT (check all that apply)

 Check    Credit Card    Money Order    Other    None
Deposit Account Number **50-0456**Deposit Account Name **Gray Cary Ware & Freidenrich LLP**

The Commissioner is authorized to: (check all that apply)

Charge (fees) indicated below.    Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	(\\$)	(\\$)
1001	750	2001	375
1002	330	2002	165
1003	520	2003	260
1004	750	2004	375
1005	160	2005	80
<b>SUBTOTAL (1) (\$ 770.00)</b>			

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	-20** =	Extra Claims	Fee from below	Fee Paid
25	-20** =	5	X 18	= 90.00
Independent Claims 3	-3** =	0	X 0	= 0.00

Multiple Dependent

X =

Large Entity	Small Entity	Fee Description	
Fee Code	Fee Code	(\\$)	
1202	18	2202	9
1201	84	2201	42
1203	280	2203	140
1204	84	2204	42
1205	18	2205	9
<b>SUBTOTAL (2) (\$ 90.00)</b>			

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity   Small Entity

Fee Code	Fee Code	(\\$)	Fee Description	Fee Paid
1051	130	2051	65 Surcharge - late filing fee or oath	
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130 Non-English specification	
1812	2,520	1812	2,520 For filing a request for ex parte reexamination	
1804	920*	1804	920* Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action	
1251	110	2251	55 Extension for reply within first month	
1252	410	2252	205 Extension for reply within second month	
1253	930	2253	465 Extension for reply within third month	
1254	1,450	2254	725 Extension for reply within fourth month	
1255	1,970	2255	985 Extension for reply within fifth month	
1401	320	2401	160 Notice of Appeal	
1402	320	2402	160 Filing a brief in support of an appeal	
1403	280	2403	140 Request for oral hearing	
1451	1,510	1451	1,510 Petition to institute a public use proceeding	
1452	110	2452	55 Petition to revive – unavoidable	
1453	1,300	2453	650 Petition to revive – unintentional	
1501	1,300	2501	650 Utility issue fee (or reissue)	
1502	470	2502	235 Design issue fee	
1503	630	2503	315 Plant issue fee	
1460	130	1460	130 Petitions to the Commissioner	
1807	50	1807	50 Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180 Submission of Information Disclosure Stmt	
8021	40	8021	40 Recording each patent assignment per property (times number of properties)	
1809	750	2809	375 Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375 For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375 Request for Continued Examination (RCE)	
1802	900	1802	900 Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)**

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	John L. Adair	Registration No. (Attorney/Agent)	48,828	Telephone	512.457.7142
Signature				Date	November 26, 2003

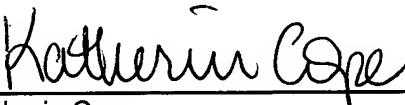
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

<b>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</b>	
<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL"</b>	
	Atty Docket No. (Optional) <b>VIGN1540-1</b>
<b>Mail Stop Patent Application</b> Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	In the Application of: <b>Pathak, et al.</b>
	Date Filed: <b>November 26, 2003</b>
	Title: <b>SYSTEM AND METHOD FOR DYNAMICALLY APPLYING CONTENT MANAGEMENT RULES</b>
	Sir:

I hereby certify that the attached Utility Patent Application Transmittal Form, Fee Transmittal, unexecuted Declaration and Power of Attorney, Request for Nonpublication, Utility Patent Application, five (5) pages of Drawings, Check and Postcard are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. **EV351127335US**, on **November 26, 2003**, addressed to: **Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

Respectfully submitted,  
**Gray Cary Ware & Freidenrich LLP**

  
Katherin Cope  
 Katherin Cope  
 EV351127335US)

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor  Heeren Pathak, et al.
Title	<b>SYSTEM AND METHOD FOR DYNAMICALLY APPLYING CONTENT MANAGEMENT RULES</b>
Atty. Docket:	<b>VIGN1540-1</b>

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

November 26, 2003

Date

  
John L. Adair  
Reg. No. 48,828

This request must be signed in compliance with 37 C.F.R. 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 C.F.R. 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 C.F.R. 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.